

# UCM EMPLOYEE & SUPERVISOR INCIDENT REPORT

Incident Reporting ensures there is a record of the incident on file and helps UC Merced provide a safe work environment. The supervisor is responsible for assuring that all employee incidents, injuries, illnesses, or repetitive conditions are reported to HR/Workers' Compensation within 24 hours of the initial report from the employee. If the employee is unable to complete the Employee section of the form, the supervisor must complete on his/her behalf.



Human Resources

Please contact **HR/Workers' Compensation** if you have any questions about this form or incident reporting requirements:

Email: [benefits@ucmerced.edu](mailto:benefits@ucmerced.edu)  
Phone: (209) 228-2363  
Website: <http://hr.ucmerced.edu>

In filing this Incident Report an employee is not filing a workers' compensation claim. To file a claim, the employee fills out a **Workers' Compensation Claim Form (DWC 1)**. It is not necessary to fill out a Workers' Compensation Claim Form (DWC 1) to obtain first-aid treatment for a minor work-related injury. "First-Aid" means any one-time treatment, and any follow-up visit for the purpose of observation of minor scratches, cuts, burns, splinters, or other minor industrial injury, which do not ordinarily require medical care. This one-time treatment, and any follow-up visit for the purpose of observation, is considered first-aid even though provided by a physician or registered professional personnel.

## Instructions

**Supervisor:** Please give this form to the injured employee and ask him/her to fill out the Employee section of the form. Once the employee has completed the Employee section of this form, complete the Supervisor section to the best of your ability. If the employee needs or requests examination or treatment by a medical provider, please give the employee the **UC Merced Authorization for Medical Treatment Form** and immediately arrange for medical care following the instructions on that form. In the event of a serious injury, fatality, or hospitalization, contact HR/Workers' Compensation immediately at (209) 228-2363.

Once the form has been completed, please distribute as follows:

**Distribution:** (within 24 hours)      **Fax:** HR/Workers' Compensation (209) 228-8586      **Original:** HR/Workers' Compensation Human Resources Dept      **Copies:** Employee Supervisor MSO for Department Environmental Health & Safety

## EMPLOYEE COMPLETES THIS SECTION:

Employee Name:		UC Merced ID #:	Date of Birth:
Address:		Home Phone:	Work Phone:
City/State/Zip:		Sex: <input type="checkbox"/> Female <input type="checkbox"/> Male	
Department:		Supervisor's Name:	
Occupation:	Date of Hire:	Annual Gross Salary: \$	Paid: <input type="checkbox"/> Monthly <input type="checkbox"/> Biweekly
Appointment Type: <input type="checkbox"/> Regular <input type="checkbox"/> Limited <input type="checkbox"/> Contract <input type="checkbox"/> Student <input type="checkbox"/> Volunteer		Full time/Part time: <input type="checkbox"/> Full time <input type="checkbox"/> Part time	Title:
Days and hours normally worked: <input type="checkbox"/> Monday ___ hours <input type="checkbox"/> Tuesday ___ hours <input type="checkbox"/> Wednesday ___ hours <input type="checkbox"/> Thursday ___ hours <input type="checkbox"/> Friday ___ hours <input type="checkbox"/> Saturday ___ hours <input type="checkbox"/> Sunday ___ hours			
Do you have other employment? <input type="checkbox"/> Yes <input type="checkbox"/> No		If yes, where else are you employed?	
Specific Injury/Illness/Exposure:		Body Part(s) affected:	Date of injury/illness:
Location where injury or illness occurred (please include building & room number):		Were others injured? <input type="checkbox"/> Yes <input type="checkbox"/> No	
What equipment, materials or chemicals caused the injury/illness?		Who witnessed this injury?	
Describe in detail how the injury/illness occurred and the specific activity being performed:			
Is this a brand new injury? <input type="checkbox"/> Yes <input type="checkbox"/> No	If no, what is the date of the original injury?	Was the original injury reported? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, who was it reported to?
Do you want to see a doctor for treatment? <input type="checkbox"/> Yes <input type="checkbox"/> No			
<b>I, the injured employee, hereby certify that the information above is true and to the best of my knowledge:</b>			<b>Date:</b>
<b>EMPLOYEE'S SIGNATURE:</b>			

**SUPERVISOR COMPLETES THIS SECTION:**

Supervisor Name: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

What was the injury, illness or exposure?

Describe in detail how the injury/illness occurred and the specific activity being performed:

Date of the incident: \_\_\_\_\_ Date employee reported incident: \_\_\_\_\_ Time employee began work: \_\_\_\_\_  AM  PM Time employee stopped work: \_\_\_\_\_  AM  PM

Is the employee likely to lose additional time from work?  Yes  No  Unknown Is the department willing to provide transitional (modified or alternative) work during the employee's recovery:  Yes  No

Was equipment involved?  Yes  No If yes, what type of equipment? \_\_\_\_\_ Did equipment malfunction cause the incident?  Yes  No  Unknown **If yes, remove equipment, tag for identification, then contact EH&S at (209) 228-7864.**

Will the employee seek medical treatment?  Yes  No **If yes, please complete the UC Merced Authorization for Medical Treatment Form and give it to the employee to take to the clinic.**

Other comments:

INITIAL CAUSE	CONTRIBUTING CONDITIONS AND BEHAVIORS		PREVENTIVE ACTIONS
<input type="checkbox"/> Struck by or against object <input type="checkbox"/> Caught in/under/between <input type="checkbox"/> Contact by/with <input type="checkbox"/> Slip/Trip/Fall <input type="checkbox"/> Material handling/lifting <input type="checkbox"/> Repetitive motion <input type="checkbox"/> Over-exertion <input type="checkbox"/> Chemical exposure <input type="checkbox"/> Explosion <input type="checkbox"/> Body fluid exposure: <input type="checkbox"/> Needle stick <input type="checkbox"/> Sharps <input type="checkbox"/> Animal bite <input type="checkbox"/> Vehicular accident <input type="checkbox"/> Other	<b>Equipment</b> <input type="checkbox"/> Equipment failure <input type="checkbox"/> Equipment unavailable <input type="checkbox"/> Improper equipment used  <b>Personal protective equipment</b> <input type="checkbox"/> Not worn <input type="checkbox"/> Not readily available <input type="checkbox"/> Not adequate for the task <input type="checkbox"/> Protective equipment failure  <b>Training/Experience</b> <input type="checkbox"/> Lack of training <input type="checkbox"/> Safety protocol not followed <input type="checkbox"/> New task or lack of experience  <b>Work Area</b> <input type="checkbox"/> Work area set up improperly <input type="checkbox"/> Inadequate lighting <input type="checkbox"/> Noise issues <input type="checkbox"/> Housekeeping issues <input type="checkbox"/> Environmental factors (rain, wind, temp. etc) <input type="checkbox"/> Ventilation issues <input type="checkbox"/> Ergonomic factors	<b>Employee</b> <input type="checkbox"/> Physically not able to do work <input type="checkbox"/> Employee fatigue <input type="checkbox"/> Unbalanced or poor position/motion <input type="checkbox"/> Incorrect procedures used for task <input type="checkbox"/> Other unsafe practice  <b>Assistance</b> <input type="checkbox"/> Difficult to perform task without help <input type="checkbox"/> Safety features/devices not available <input type="checkbox"/> Assistive devices not used  <input type="checkbox"/> <b>Lack of policy/procedure</b>  <input type="checkbox"/> <b>Animal</b> (explain below)  <input type="checkbox"/> <b>Other</b>	<b>SUPERVISOR WILL:</b> <input type="checkbox"/> Develop or revise safety procedures <input type="checkbox"/> Request ergonomic evaluation from EH&S <input type="checkbox"/> Request safety training from EH&S <input type="checkbox"/> Order new equipment <input type="checkbox"/> Provide protective equipment <input type="checkbox"/> Remove equipment from use and repair or replace <input type="checkbox"/> Schedule preventive maintenance <input type="checkbox"/> Retrain employee <input type="checkbox"/> Post safety signs <input type="checkbox"/> Reconfigure work area <input type="checkbox"/> Communicate corrective actions to others <input type="checkbox"/> Other  <b>Preventive actions will be completed by:</b>  Name: Expected date of completion:

List any other actions that will be taken to prevent recurrence:

SUPERVISOR'S OR MANAGER'S SIGNATURE: \_\_\_\_\_ Date: \_\_\_\_\_

**For HR/WC Use Only**  
 Claim Status:  First Aid Only  WC Claim Date Entered in VOS: \_\_\_\_\_  
 Letter:  Regular  No ESL Entered by: \_\_\_\_\_  
 DWC-1 Needed:  Yes  No